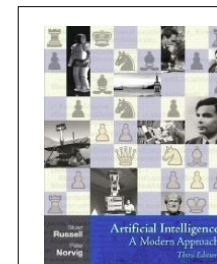


Outline

- Best-first search
 - Greedy best-first search
 - A* search
 - Heuristics
- Local search algorithms
 - Hill-climbing search
 - Beam search
 - Simulated annealing search
 - Genetic algorithms
- **Constraint Satisfaction Problems**
 - Backtracking Search
 - Forward Checking
 - Constraint Propagation
 - Local Search
 - Tree-Structured CSPs



Many slides based on
Russell & Norvig's slides
**Artificial Intelligence:
A Modern Approach**

Constraint Satisfaction Problems

Special Type of search problem:

- state is defined by variables X_i with d values from domain D_i
- goal test is a set of constraints specifying allowable combinations of values for subsets of variables

Examples:

Sudoku

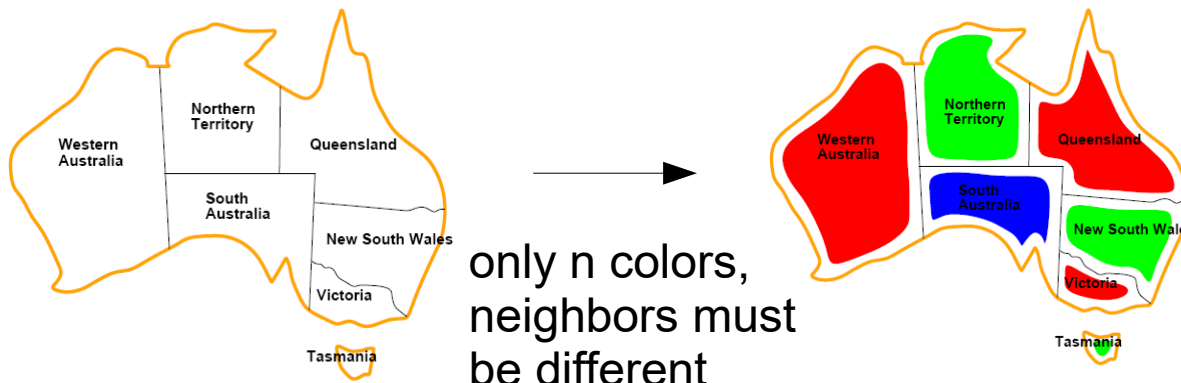
| | | | | | | | | | |
|---|---|---|---|---|---|--|---|---|---|
| 5 | 3 | | | 7 | | | | | |
| 6 | | | 1 | 9 | 5 | | | | |
| | 9 | 8 | | | | | | 6 | |
| 8 | | | | 6 | | | | | 3 |
| 4 | | | 8 | | 3 | | | | 1 |
| 7 | | | | 2 | | | | | 6 |
| | 6 | | | | | | 2 | 8 | |
| | | | 4 | 1 | 9 | | | | 5 |
| | | | | 8 | | | | 7 | 9 |

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|--|
| 5 | 3 | 4 | 6 | 7 | 8 | 9 | 1 | 2 | |
| 6 | 7 | 2 | 1 | 9 | 5 | 3 | 4 | 8 | |
| 1 | 9 | 8 | 3 | 4 | 2 | 5 | 6 | 7 | |
| 8 | 5 | 9 | 7 | 6 | 1 | 4 | 2 | 3 | |
| 4 | 2 | 6 | 8 | 5 | 3 | 7 | 9 | 1 | |
| 7 | 1 | 3 | 9 | 2 | 4 | 8 | 5 | 6 | |
| 9 | 6 | 1 | 5 | 3 | 7 | 2 | 8 | 4 | |
| 2 | 8 | 7 | 4 | 1 | 9 | 6 | 3 | 5 | |
| 3 | 4 | 5 | 2 | 8 | 6 | 1 | 7 | 9 | |

cryptarithmic puzzle

$$\begin{array}{r}
 \text{SEND} \\
 + \text{MORE} \\
 \hline
 \text{MONEY}
 \end{array}$$

Graph/Map-Coloring



n-queens

Real-World CSPs

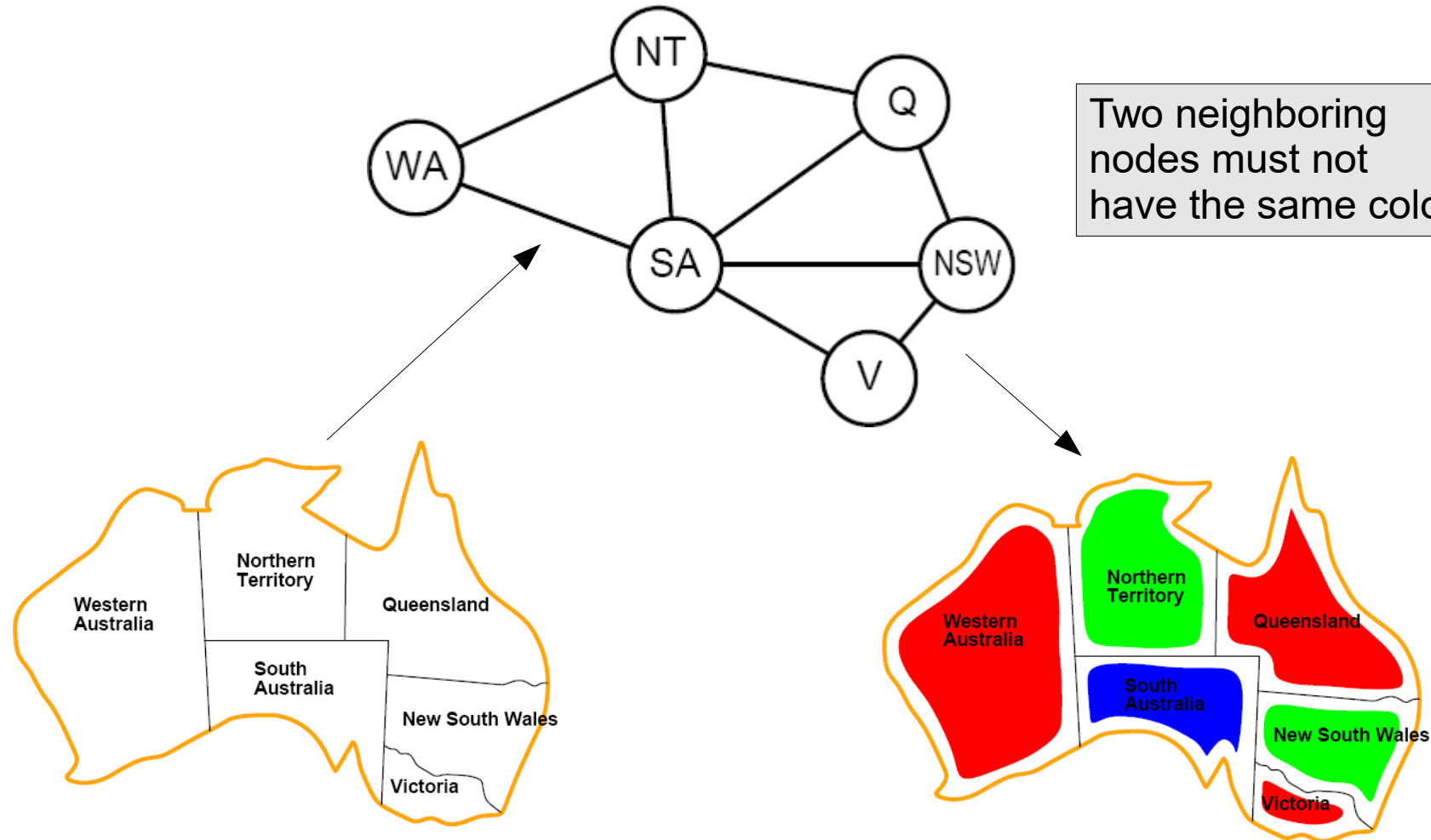
- Assignment problems
 - e.g., who teaches what class
- Timetabling problems
 - e.g., which class is offered when and where?
- Hardware configuration
- Spreadsheets
- Scheduling
 - Job scheduling
 - Constraints are, e.g., start and end times for each job
 - Transportation scheduling
 - Factory scheduling
- Floorplanning

Notice that many real-world problems involve real-valued variables

- Linear constraints solvable in polynomial time using linear programming
- Problems with nonlinear constraints undecidable

Constraint Graph

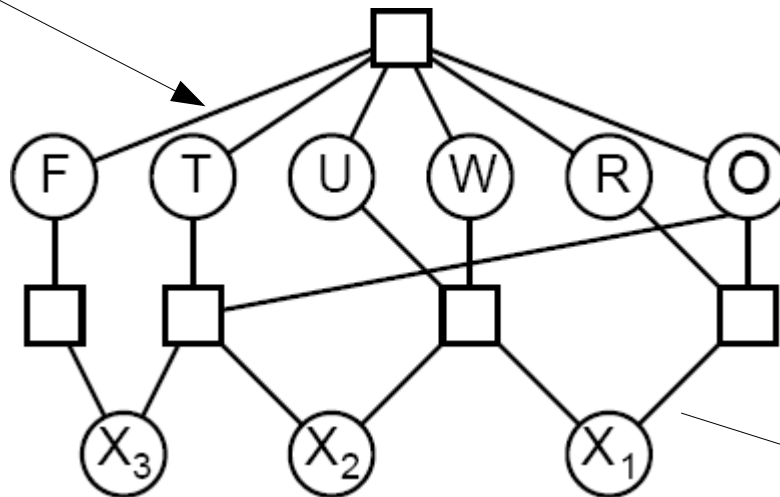
- nodes are variables
- edges indicate constraints between them



Constraint Graph

- nodes are variables
- edges indicate constraints between them

$$\begin{array}{r} T \ W \ O \\ + \ T \ W \ O \\ \hline F \ O \ U \ R \end{array}$$



Connected nodes are involved in (in-)equations:

$$2 \cdot O = 10 \cdot X_1 + R$$

$$2 \cdot W + X_1 = 10 \cdot X_2 + U$$

$$2 \cdot T + X_2 = 10 \cdot X_3 + O$$

$$F = X_3$$

$$F \neq T \neq U \neq W \neq R \neq O$$

$$\begin{array}{r} 7 \ 3 \ 4 \\ + \ 7 \ 3 \ 4 \\ \hline 1 \ 4 \ 6 \ 8 \end{array}$$

Types of Constraints

- **Unary** constraints involve a single variable,
 - e.g., *South Australia \neq green*
- **Binary** constraints involve pairs of variables,
 - e.g., *South Australia \neq Western Australia*
- **Higher-order** constraints involve 3 or more variables
 - e.g., $2 \cdot W + X_1 = 10 \cdot X_2 + U$
- **Preferences** (soft constraints)
 - e.g., *red is better than green*
 - are not binding, but task is to respect as many as possible
→ constrained optimization problems

Solving CSP Problems

Two principal approaches:

- **Search:**
 - successively assign values to variable
 - check all constraints
 - if a constraint is violated → backtrack
 - until all variables have assigned values
- **Constraint Propagation:**
 - maintain a set of possible values D_i for each variable X_i
 - try to reduce the size of D_i by identifying values that violate some constraints

Solving Constraint Problems with Search

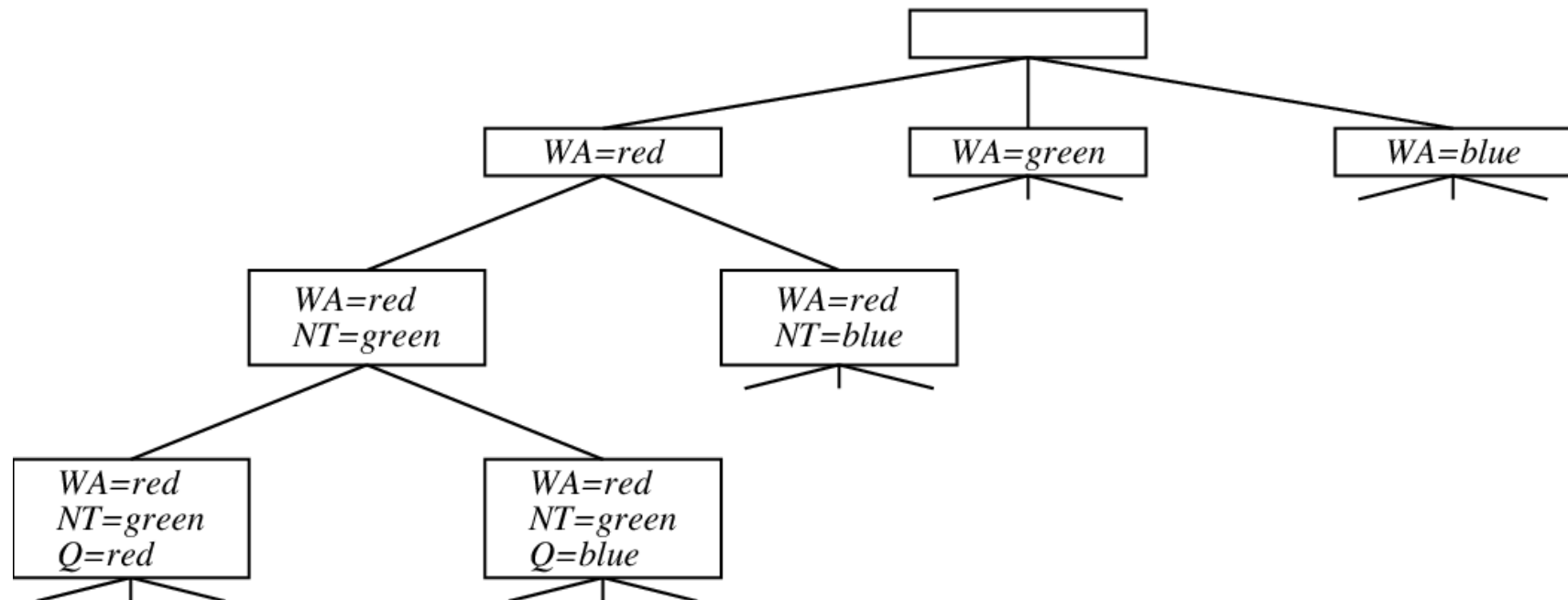
- Constraint problems define a simple search space:
 - The start node is an empty assignment of values to variables
 - Its successors are all possible ways of assigning one value to a variable (depth 1)
 - Their successors are those with 2 variables assigned (depth 2)
 -
 - Until at the end all variables have been assigned a value (depth n)
- Goal test:
 - Does a node at depth n satisfy all constraints?
- Observation:
 - All solution nodes will appear at depth $n \rightarrow$ depth-first search is feasible without losing completeness

Complexity of Naive Search

- Assumptions
 - we have n variables
 - all solutions are a depth n in the search tree
 - all variables have v possible values
- Then
 - at level 1 we have $n \cdot v$ possible assignments
(we can choose one of n variables and one of v values for it)
 - at level 2, we have $(n-1) \cdot v$ possible assignments for each previously assigned variable
(we can choose one of the remaining $n-1$ variables and one of the v values for it)
 - In general: branching factor at depth l : $(n-l+1) \cdot v$
- Hence
 - The search tree has $n!v^n$ leaves

Commutative Variable Assignments

- Variable assignments are commutative
 - $[WA = red \text{ then } NT = green]$ is the same as $[NT = green \text{ then } WA = red]$
- Thus, at each node, we only need to make assignments for one of the variables
 - Total complexity reduces to v^n



Backtracking Search

- Depth-first search with single variable assignments per level is also called **backtracking search**
- Backtracking is the basic uninformed search algorithm for CSPs
 - add one constraint at a time without conflict
 - succeed if a legal assignment is found
 - Can solve n-queens problems for up to $n \simeq 25$
- Complexity:
 - Worst case is still exponential
 - heuristics for selecting variables (`SELECTUNASSIGNEDVARIABLE`) and for ordering values (`ORDERDOMAINVALUES`) can improve practical performance

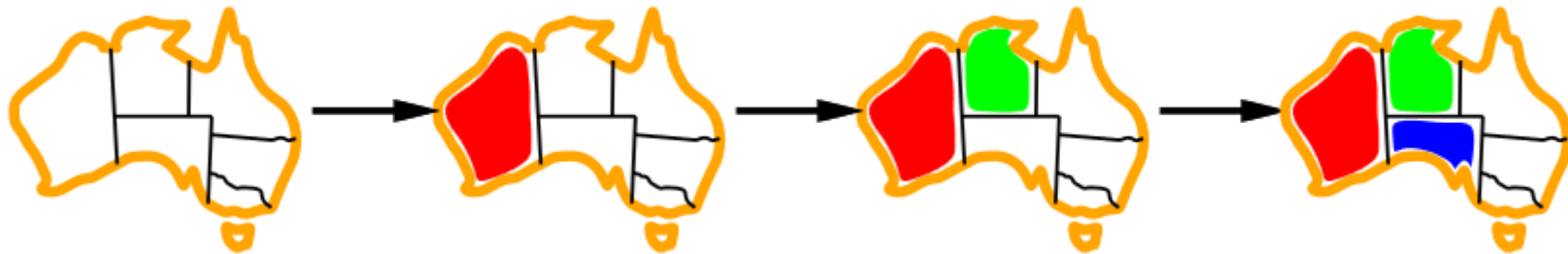
Backtracking Search

```
function BACKTRACKING-SEARCH(csp) returns solution/failure
  return RECURSIVE-BACKTRACKING({ }, csp)

function RECURSIVE-BACKTRACKING(assignment, csp) returns soln/failure
  if assignment is complete then return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp], assignment, csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment given CONSTRAINTS[csp] then
      add {var = value} to assignment
      result ← RECURSIVE-BACKTRACKING(assignment, csp)
      if result ≠ failure then return result
      remove {var = value} from assignment
  return failure
```


General Heuristics for CSP

- **Domain-Specific Heuristics**
 - Depend on the particular characteristics of the problem
 - Obviously, a heuristic for the 8-puzzle can not be used for the 8-queens problem
- **General-purpose heuristics**
 - For CSP, good general-purpose heuristics are known:
 - **Minimum Remaining Values Heuristic**
 - choose the variable with the fewest consistent values



General Heuristics for CSP

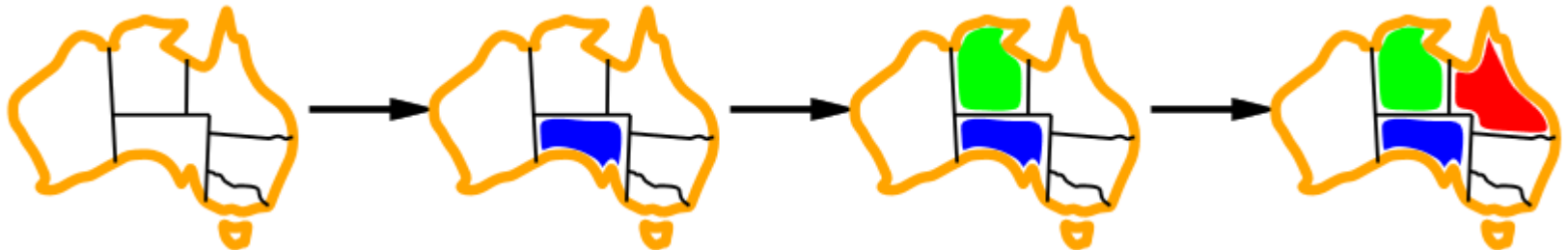
Domain-Specific Heuristics

- Depend on the particular characteristics of the problem
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General-purpose heuristics

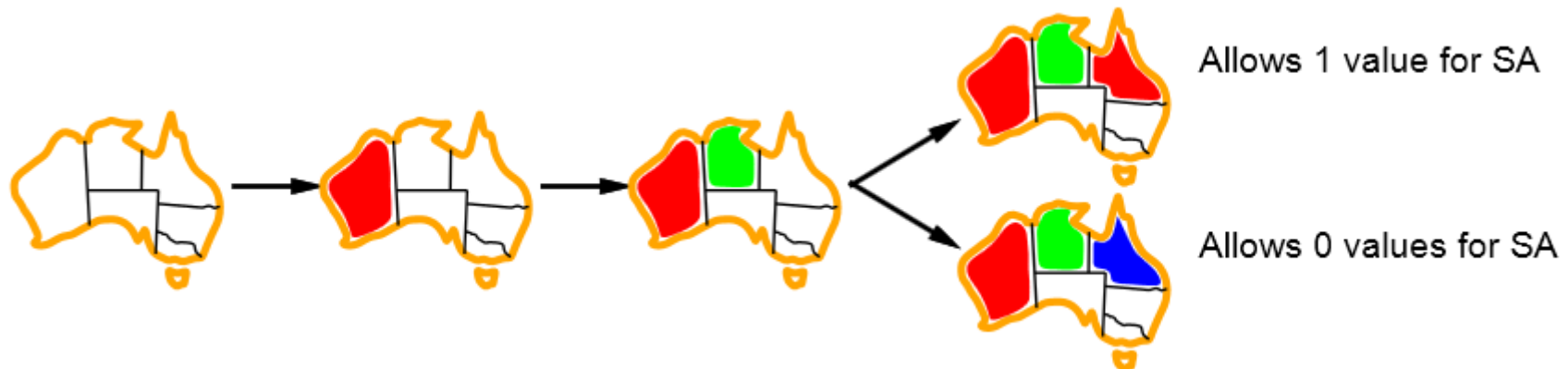
- For CSP, good general-purpose heuristics are known:
 - Minimum Remaining Values Heuristic**
 - choose the variable with the fewest consistent values
 - Degree Heuristic**
 - choose the variable with the most constraints on remaining variables

SelectUnassignedVariable



General Heuristics for CSP

- **Domain-Specific Heuristics**
 - Depend on the particular characteristics of the problem
 - Obviously, a heuristic for the 8-puzzle can not be used for the 8-queens problem
- **General-purpose heuristics**



OrderDomainValues

- **Least Constraining Value Heuristic**
 - Given a variable, choose the value that rules out the fewest values in the remaining variables

General Heuristics for CSP

Domain-Specific Heuristics

- Depend on the particular characteristics of the problem
- Obviously, a heuristic for the 8-puzzle can not be used for the 8-queens problem

General-purpose heuristics

- For CSP, good general-purpose heuristics are known:

Minimum Remaining Values Heuristic

- choose the variable with the fewest consistent values

Degree Heuristic

- choose the variable that imposes the most constraints on the remaining values

Least Constraining Value Heuristic

- Given a variable, choose the value that rules out the fewest values in the remaining variables

- used in this order, these three can greatly speed up search
 - e.g., n-queens from 25 queens to 1000 queens

SelectUnassignedVariable

OrderDomainValues

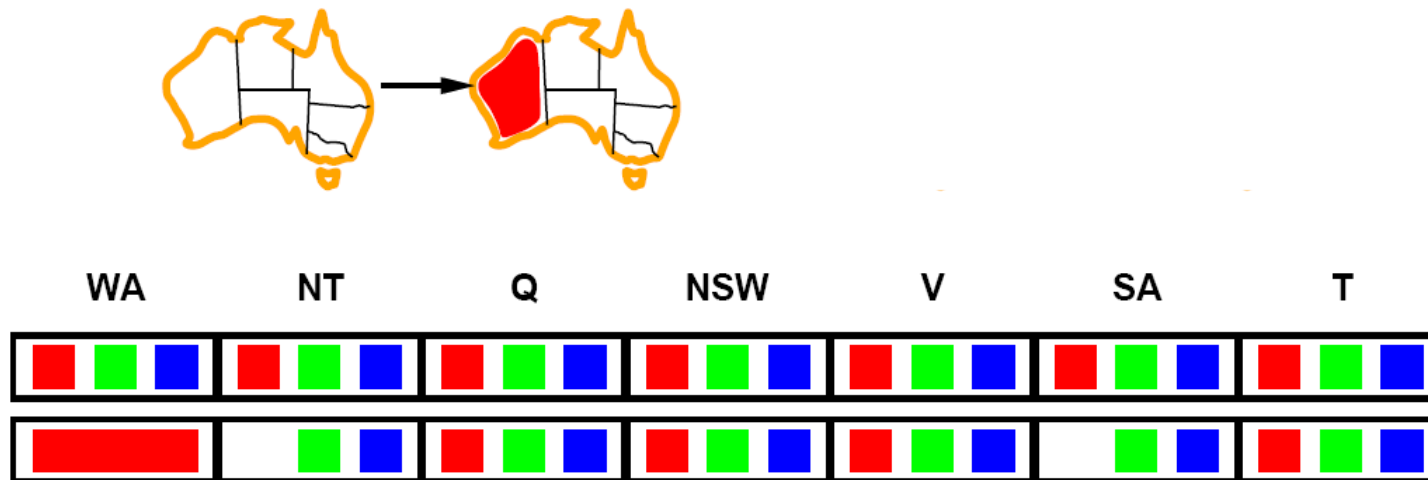
Forward Checking

- Idea:
 - keep track of remaining legal values for unassigned variables
 - terminate search when any variable has no more legal values



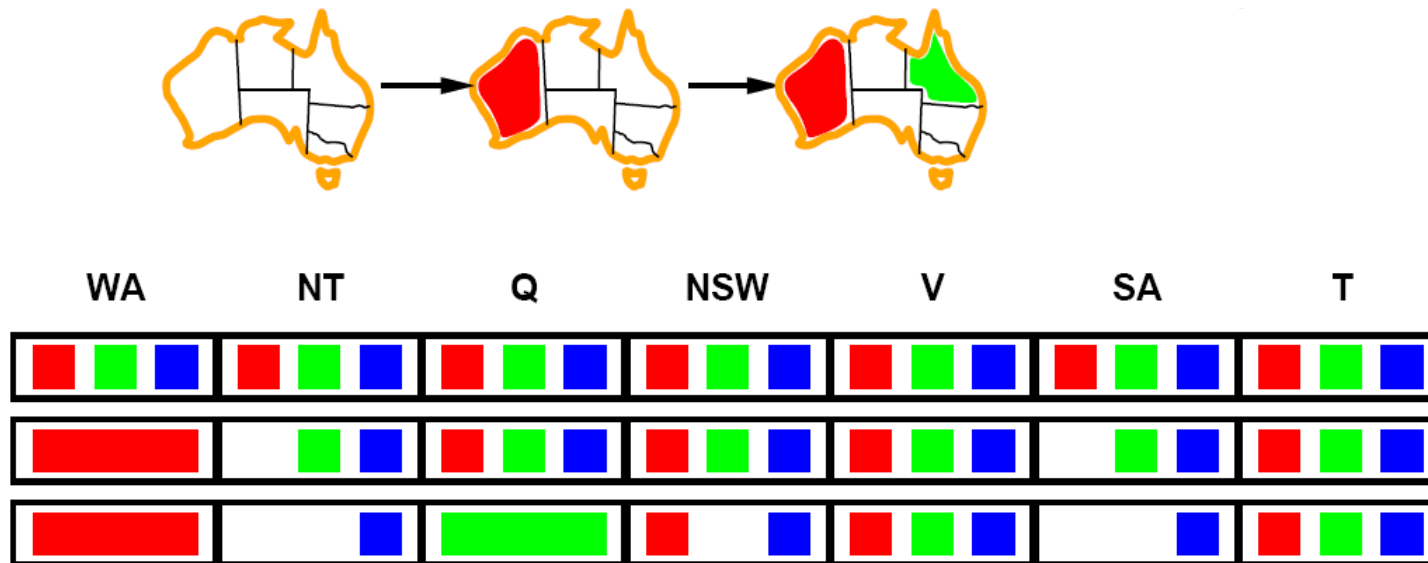
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- Idea:
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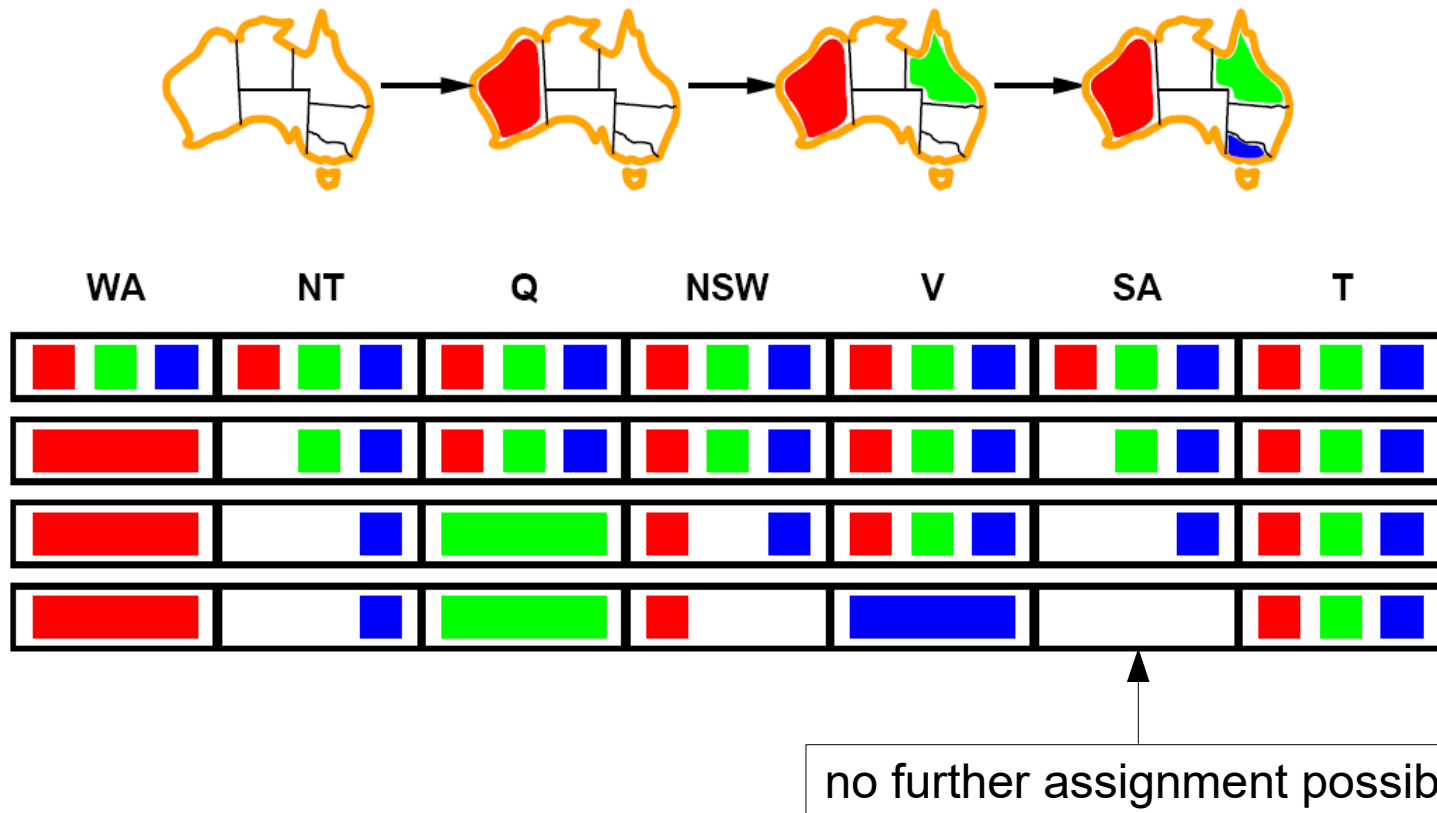
Forward Checking

- Idea:
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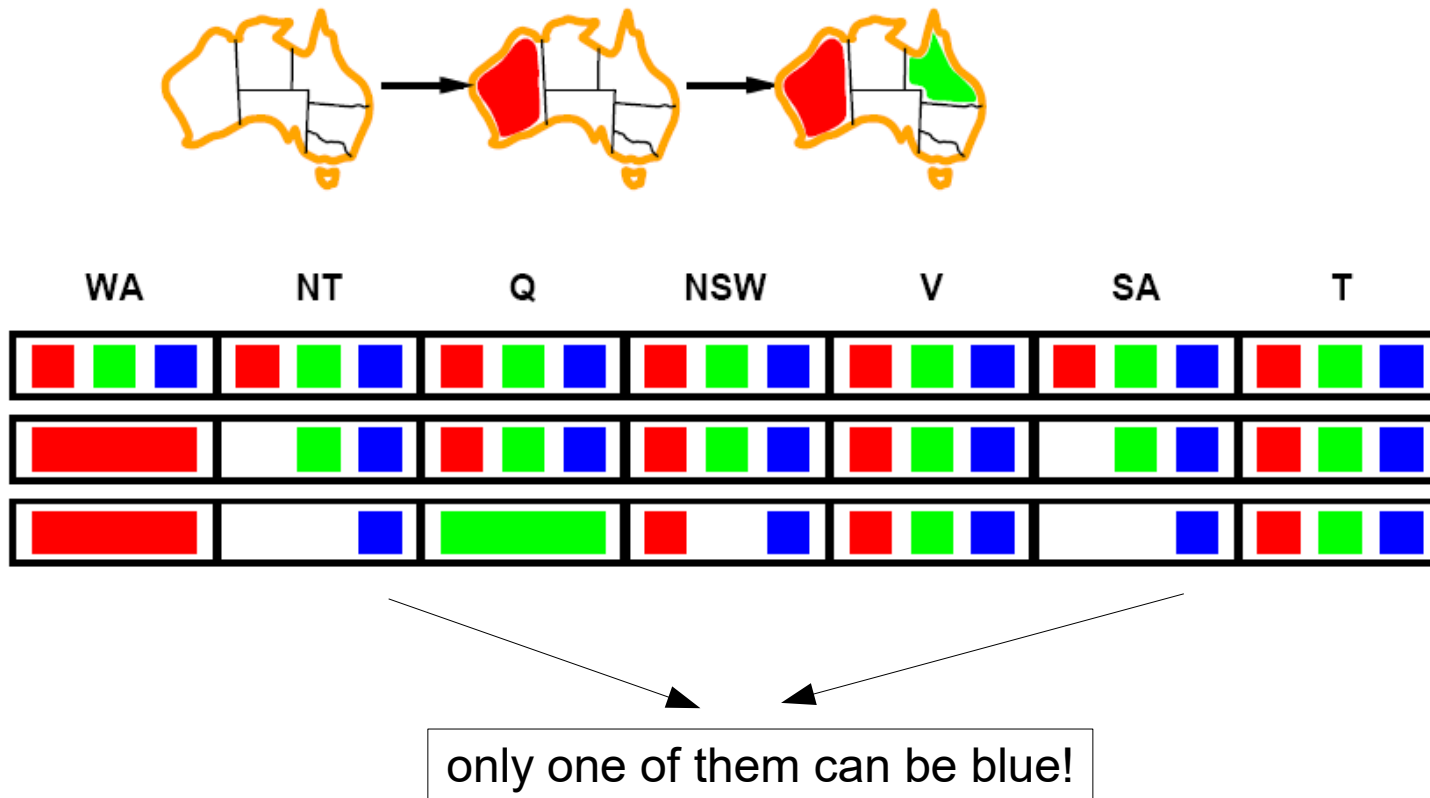
Forward Checking

- Idea:
 - keep track of remaining legal values for unassigned variables
 - terminate search when any variable has no more legal values



Constraint Propagation

- Problem:
 - forward checking propagates information from assigned to unassigned variables
 - but doesn't look ahead to provide early detection for all failures



Constraint Propagation - Sudoku

- **Problem**
 - CSP with 81 variables
- **Constraints**
 - some values are assigned in the start (unary constraints)
 - 27 constraints on 9 values that must all be different
(9 rows, 9 columns, 9 squares)
- **Constraint Propagation**
 - People often write a list of possible values into empty fields
 - try to successively eliminate values
- **Status**
 - Automated constraint solvers can solve the hardest puzzles in no time

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| 1 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 2 |
| 2 | 8 | 7 | 4 | 5 | 6 | 9 | 3 | 1 |
| 3 | 9 | 2 | 1 | 8 | 7 | 4 | 5 | 6 |
| 4 | 6 | 7 | 3 | 9 | 1 | 8 | 2 | 5 |
| 5 | 8 | 9 | 4 | 7 | 5 | 2 | 6 | 3 |
| 6 | 2 | 1 | 5 | 4 | 6 | 9 | 3 | 7 |
| 7 | 5 | 6 | 2 | 8 | 9 | 3 | 4 | 7 |
| 8 | 4 | 8 | 1 | 5 | 7 | 6 | 2 | 9 |
| 9 | 3 | 4 | 5 | 6 | 1 | 2 | 9 | 8 |

Figure 6

Node Consistency

Node Consistency

- the possible values of a variable must conform to all unary constraints
- can be trivially enforced
- Example:
 - Sudoku: Some nodes are already filled out, i.e., constrained to a single value

More General Idea: Local Consistency

- make each node in the graph consistent with its neighbors
- by (iteratively) enforcing the constraints corresponding to the edges

Arc Consistency

- every domain must be consistent with the neighbors:

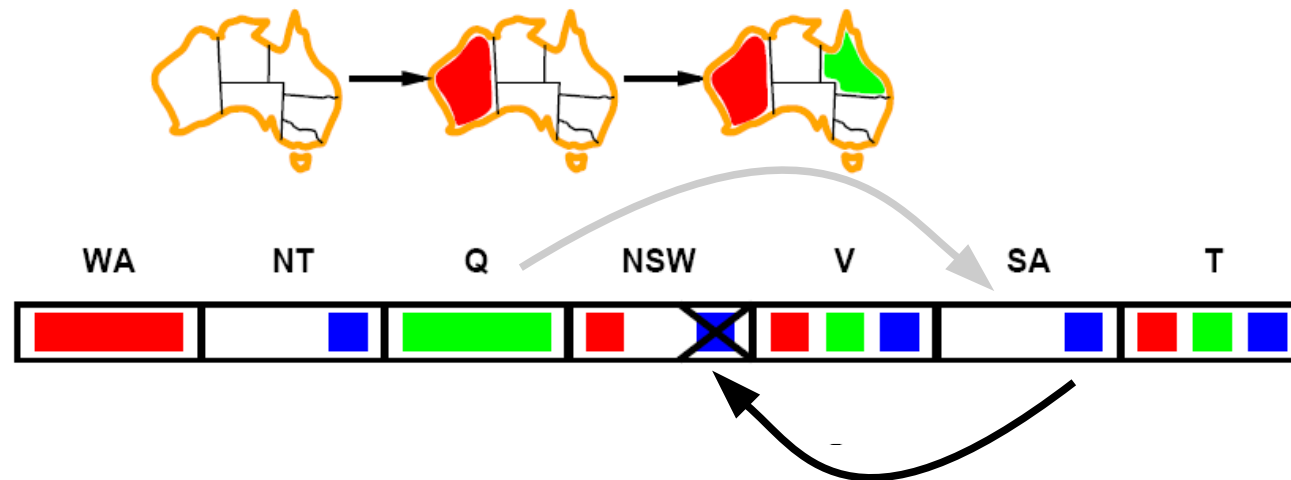
A variable X_i is **arc-consistent** with a variable X_j if

- for every value in its domain D_i
- there is some value in D_j
- that satisfies the constraint on the arc (X_i, X_j)

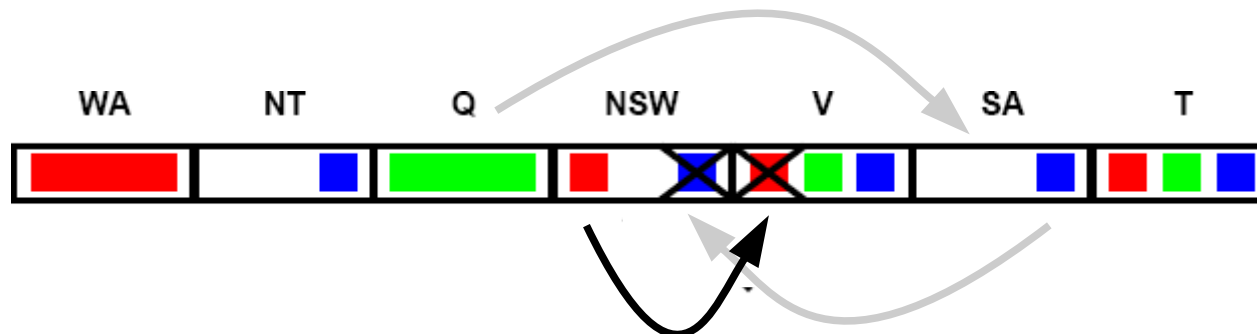
- can be generalized to n-ary constraints
 - each tuple involving the variable X_i has to be consistent

Maintaining Arc Consistency (MAC)

- After each new assignment of a value to a variable, possible values of the neighbors have to be updated:



- If one variable (NSW) loses a value (blue), we need to **recheck its neighbors** as well because they **might have lost a possible value**



Arc Consistency Algorithm

function AC-3(*csp*) **returns** the CSP, possibly with reduced domains

inputs: *csp*, a binary CSP with variables $\{X_1, X_2, \dots, X_n\}$

local variables: *queue*, a queue of arcs, initially all the arcs in *csp*

while *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$

if REMOVE-INCONSISTENT-VALUES(X_i, X_j) **then**

for each X_k **in** NEIGHBORS[X_i] **do**

 add (X_k, X_i) to *queue*

If X loses a value,
neighbors of X need
to be rechecked.

function REMOVE-INCONSISTENT-VALUES(X_i, X_j) **returns** true iff succeeds

removed \leftarrow false

for each x **in** DOMAIN[X_i] **do**

if no value y in DOMAIN[X_j] allows (x, y) to satisfy the constraint $X_i \leftrightarrow X_j$

then delete x from DOMAIN[X_i]; *removed* \leftarrow true

return *removed*

- Run-time: $O(n^2 d^3)$ (can be reduced to $O(n^2 d^2)$)
more efficient than forward checking

Path Consistency

- Arc Consistency is often sufficient to
 - solve the problem (all domains have size 1)
 - show that the problem cannot be solved (some domains empty)
- but may not be enough
 - there is always a consistent value in the neighboring region

→ Path consistency

- tightens the binary constraints by considering triples of values

A pair of variables (X_i, X_j) is path-consistent with X_m if

- for every assignment that satisfies the constraint on the arc (X_i, X_j)
- there is an assignment that satisfies the constraints on the arcs (X_i, X_m) and (X_j, X_m)

- Algorithm AC-3 can be adapted to this case (known as PC-2)

k-Consistency

- The concept can be generalized so that a set of k values need to be consistent
 - 1-consistency = node consistency
 - 2-consistency = arc consistency
 - 3-consistency = path consistency
 -
- May lead to faster solution ($O(n^2d)$)
 - but checking for k -Consistency is exponential in k in the worst case
- therefore arc consistency is most frequently used in practice

Sudoku

- simple puzzles can be solved with AC-3
 - the puzzle has 9 constraints on the rows, 9 on the columns and 9 on the square (27 in total)
 - each such constraint requires that 9 values are all different
 - the 9-valued AllDiff constraints can be converted into pairwise binary constraints
 - $9 \times 8 / 2 = 36$ pairwise constraints
 - therefore $27 \times 36 = 972$ arc constraints
- somewhat more with PC-2
 - there are 255,960 path constraints
- however, not all problems can be solved with constraint propagation alone
 - to solve all puzzles we need a bit of search

Integrating Constraint Propagation and Backtracking Search

- Performance of Backtracking can be further sped up by integrating constraint propagation into the search
- **Key idea:**
 - each time a variable is assigned, a constraint propagation algorithm is run in order to reduce the number of choice points in the search
- Possible algorithms
 - Forward Checking
 - AC-3, but initial queue of constraints only contains constraints with the variable that has been changed

Local Search for CSP

- **Modifications** for CSPs:
 - work with complete states
 - allow states with unsatisfied constraints
 - operators reassign variable values

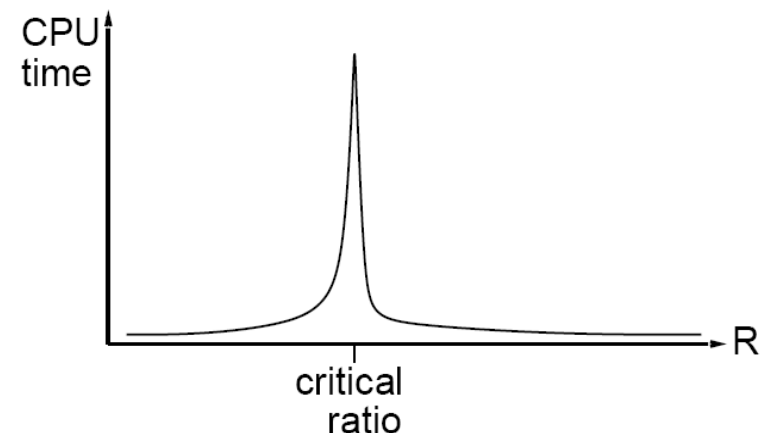
| | | | | | | | |
|----|----|----|----|----|----|----|----|
| 18 | 12 | 14 | 13 | 13 | 12 | 14 | 14 |
| 14 | 16 | 13 | 15 | 12 | 14 | 12 | 16 |
| 14 | 12 | 18 | 13 | 15 | 12 | 14 | 14 |
| 15 | 14 | 14 | ☞ | 13 | 16 | 13 | 16 |
| ☞ | 14 | 17 | 15 | ☞ | 14 | 16 | 16 |
| 17 | ☞ | 16 | 18 | 15 | ☞ | 15 | ☞ |
| 18 | 14 | ☞ | 15 | 15 | 14 | ☞ | 16 |
| 14 | 14 | 13 | 17 | 12 | 14 | 12 | 18 |

- **Min-conflicts Heuristic:**
 - randomly select a conflicted variable
 - choose the value that violates the fewest constraints
 - hill-climbing with $h(n) = \#$ of violated constraints

Min-conflicts is the heuristic that we studied for the 8-queens problems.

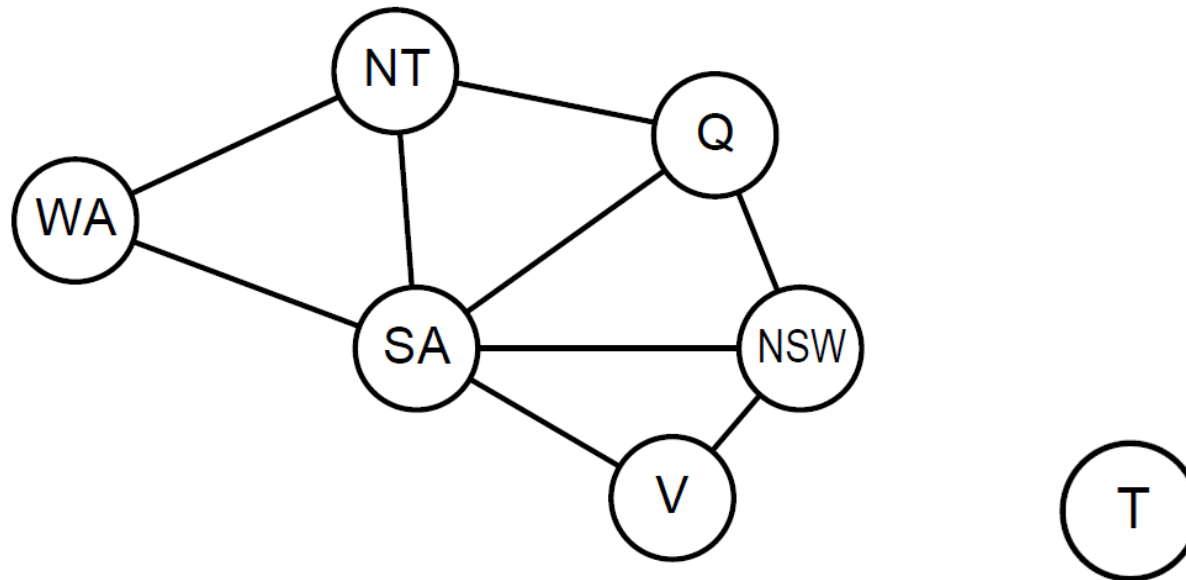
- **Performance:**
 - can solve randomly generated CSPs with a high probability
 - except in a narrow range of

$$R = \frac{\text{number of constraints}}{\text{number of variables}}$$



Problem Structure

- Decomposing the problem into independent subproblems



- The problem of coloring Tasmania is **independent** of the problem of coloring the mainland of Australia

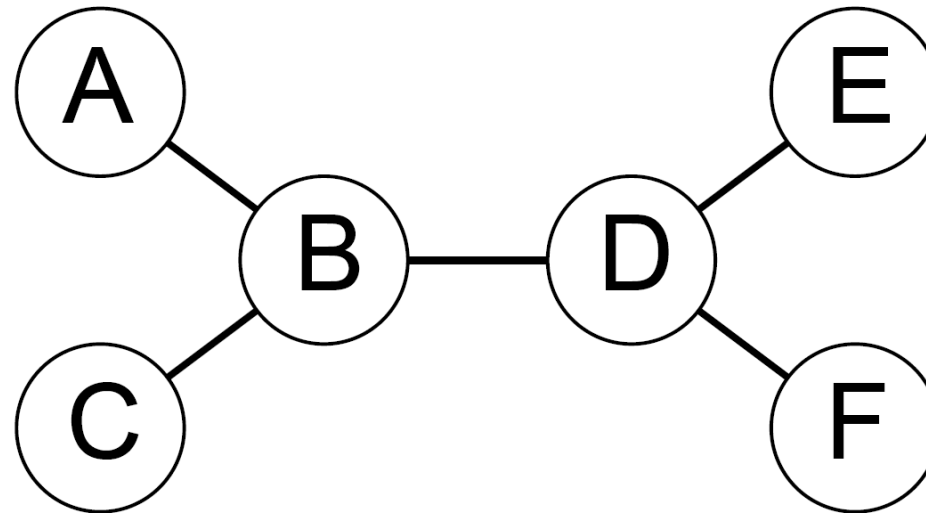
The Power of Problem Decomposition

- Search space for a constraint satisfaction with n variables, each of which can have d values = $O(d^n)$
- Decomposing the problem into subproblems with c variables each:
 - Each problem has complexity = $O(d^c)$
 - There are n/c such problems→ Total complexity = $O(n/c \cdot d^c)$
- Thus the total complexity can be reduced from exponential in n to linear in n !
- Example:
 - E.g., $n = 80$, $d = 2$, $c = 20$
 - $2^{80} = 4$ billion years at 10 million nodes/sec
 - $4 \cdot 2^{20} = 0.4$ seconds at 10 million nodes/sec

Unconditional
Independence
is powerful
but rare!

Tree-Structured CSP

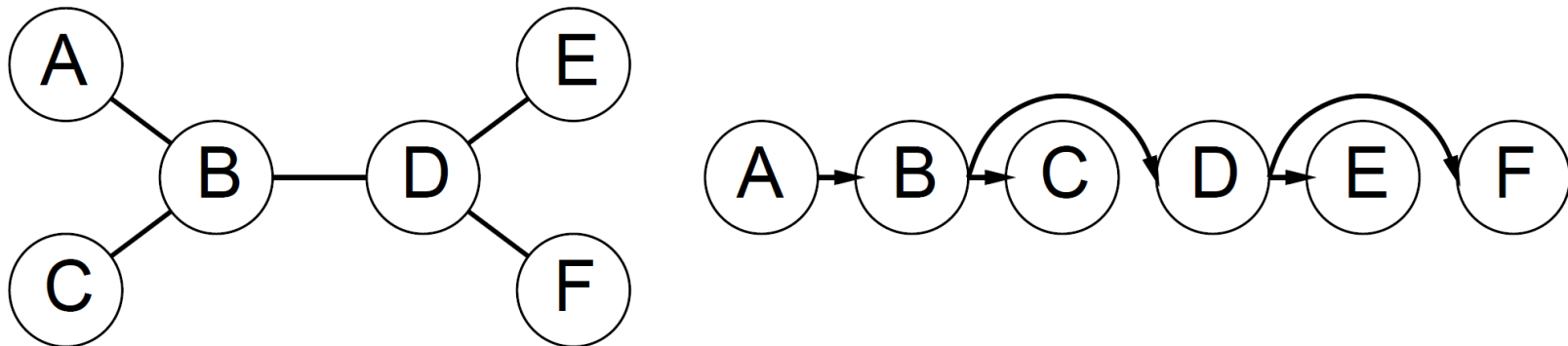
- A CSP is tree-structured if in the constraint graph any two variables are connected by a single path



Theorem: Any tree-structured CSP can be solved in linear time in the number of variables (more precisely: $O(n \cdot d^2)$)

Linear Algorithm for Tree-Structured CSPs

- 1) Choose a variable as a root, order nodes so that a parent always comes before its children (each child can have only one parent)



- 2) For $j = n$ downto 2

- Make the arc (X_i, X_j) arc-consistent, calling REMOVE-INCONSISTENT-VALUE(X_i, X_j)

- 3) For $i = 1$ to n

- Assign to X_i any value that is consistent with its parent.

Nearly Tree-structured Problems

- Tree-structured problems are also rare.
- Most maps are clearly not tree-structured...
 - Exception: Sulawesi

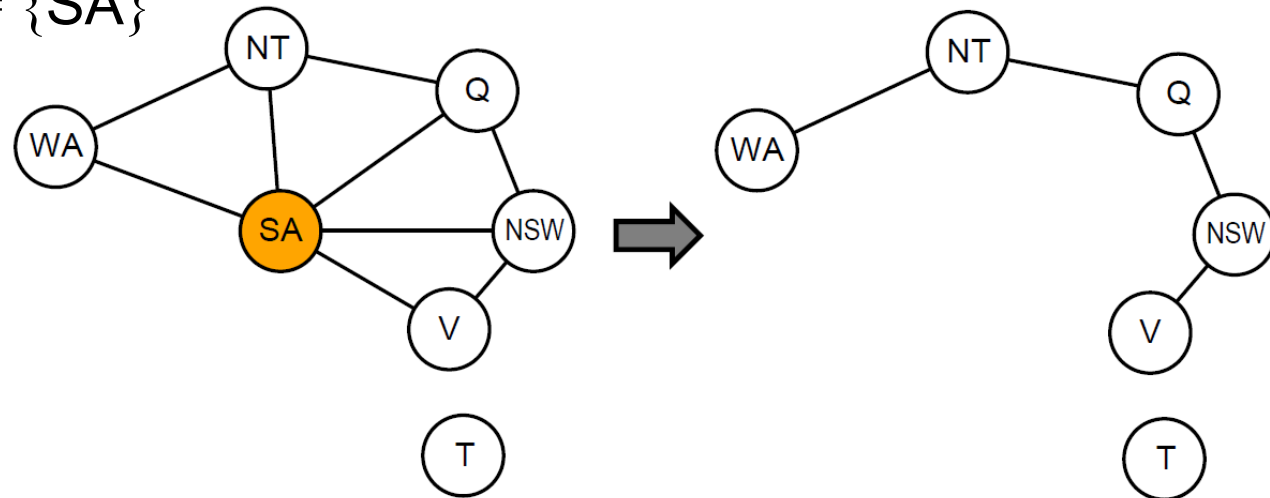


- Two approaches for making problems tree-structured:
 - Removing nodes so that the remaining nodes form a tree (cutset conditioning)
 - Collapsing nodes together (decompose the graph into a set of independent tree-shaped subproblems)

Cutset Conditioning

- 1) Choose a subset S of the variables such that the constraint graph becomes a tree after removal of S (= the **cycle cutset**)

- Example: $S = \{SA\}$



- 2) Choose a (consistent) assignment of variables for S
- 3) Remove from the remaining variables all values that are inconsistent with the variable of S
- 4) Solve the CSP problem for the remaining variables
- 5) If no solution \rightarrow choose a different assignment for variables in 2)

Summary

- CSPs are a special kind of problem:
 - states defined by values of a fixed set of variables
 - goal test defined by constraints on variable values
- Backtracking = depth-first search with one variable assigned per node
- Variable ordering and value selection heuristics help significantly
- Forward checking prevents assignments that guarantee later failure
- Constraint propagation (e.g., arc consistency) does additional work
 - to constrain values and detect inconsistencies
- The CSP representation allows analysis of problem structure
- Tree-structured CSPs can be solved in linear time